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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Naoyuki Ueda, et al. ATTORNEY DOCKET NO. 09792909-5334
SERIAL NO. 10/068,353 GROUP ART UNIT: 1774
FILING DATE: February 6, 2002 EXAMINER: C. Thompson
INVENTION: "ORGANIC ELECTROLUMINESCENT DEVICE AND DISPLAY UNIT"

\$ 1774
CC

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Mail Stop DD
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Submitted herewith is an Information Disclosure Statement for consideration in the above-identified application. This Information Disclosure Statement is submitted:

- ☐ Within 3 months (1) of filing date of a national application; (2) of date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application; or (3) before the mailing date of a first Office Action on the merits, whichever occurs last. (No fee is required.)
- ☒ After the mailing date of a first Office Action but before (1) mailing of a final action under 37 C.F.R. §1.113; or (2) mailing of a notice of allowance under §1.311, whichever occurs first.
☒ Payment for the fee set forth in 37 C.F.R. §1.17(p) accompanies this submission; or
☐ The certification specified in 37 C.F.R. §1.97(e) is made below. (No fee is required.)
- ☐ After the mailing of (1) a final action under 37 C.F.R. §1.113; or (2) a notice of allowance under 37 C.F.R. §1.311 whichever occurs first, but **before** payment of the issue fee. The certification specified in 37 C.F.R. §1.97(e) is made below. The Commissioner hereby is petitioned to consider the Information Disclosure Statement accompanying this submission. Payment for the Petition fee set forth in 37 C.F.R. §1.17(i)(1) accompanies this submission.
- ☐ The undersigned counsel for applicant(s) hereby certifies each item of information identified in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ The undersigned counsel for applicant(s) hereby certifies that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application, or to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
- ☐ A check in the amount of \$___ to cover any required fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge the fee of \$ 180.00 applicants' attorney's American Express Account Form 2038 is enclosed for this purpose.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 19-3140. A duplicate copy of this sheet is enclosed for this purpose.

Very respectfully,

SONNENSCHN NATH & ROSENTHAL LLP

By David R. Metzger, Reg. 32,919

When phoning re this application,
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CERTIFICATE OF MAILING

I hereby certify that this Information Disclosure Statement is being deposited with the United States Postal Service in an envelope addressed to Mail Stop DD, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on April 26, 2004.

H. Tschawalsky

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TC 1700



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Docket No. 09792909-5334

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT

APPLICANT(S): Naoyuki Ueda, et al. ATTY. DOCKET NO.: 09792909-5334
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S I R:

In accordance with the provisions of 37 C.F.R. § 1.56, Applicants request that citation and examination of the references identified on the attached PTO-1449 form, copies of which are enclosed herewith in accordance with 37 C.F.R. § 1.98, be made during the course of examination of the above-referenced application for United States Letters Patent.

I. SUBMITTED US PATENT REFERENCES

<u>Number</u>	<u>Inventor(s)</u>	<u>Date of Publication</u>
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II. SUBMITTED FOREIGN PATENT REFERENCES

<u>Number</u>	<u>Country</u>	<u>Date of Publication</u>
WO96/04687	World	February 15, 1996
JP07-278537	Japan	October 24, 1995

III. OTHER ITEMS OF INFORMATION

- AU M. Matsuura, et al., "*S11-2 Performance of RGS Multi-Color Organic EL Display*", Asia Display, 1995, pp. 269-270
- AV T. Yamada, et al., "*Current Status and Perspective of Active-type Organic EL Display*", Electronic Display Forum 2000, April 12-14, 2000, pp. 5-18 to 5-23.
- AW J. Salbeck, et al. "*Low molecular organic glasses for blue electroluminescence*", Synthetic Metals 91 (1997) 2009-215
- AX J. Salbeck, et al., "*Spiro Linked Compounds as Active Materials in Organic Light Emitting Diodes*", Hoechst AG Corporate Research and Max-Planck-Institute for Polymer Research, pp. 349-350.

IV. EXPLANATION OF RELEVANCE

The above-identified references are referred to in the specification of the above-identified patent application, U.S. Serial No. 10/068,353.

Submitted by,



(Reg. 32,919)

David R. Metzger

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P.O. Box 061080

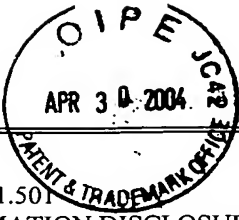
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37 CFR 1.501 INFORMATION DISCLOSURE STATEMENT IN A PATENT (use several sheets if necessary)	Docket No. 09792909-5334	Serial No. 10/068,353
	Applicants: Naoyuki Ueda, et al.	
	Filing Date February 6, 2002	Group Art Unit 1774

U.S. PATENT DOCUMENTS

Examiner's Initials		Document Number	Date	Name	Class	Subclass	Filing Date If appropriate
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						

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FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	AH	WO96/04687	2-15-96	World			Abstract	
	AI	JP07-278537	10-24-95	Japan			Abstract	
	AJ							
	AK							
	AL							
	AM							
	AN							
	AO							
	AP							
	AQ							
	AR							
	AS							
	AT							

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	AU	M. Matsuura, et al., "S11-2 Performance of RGS Multi-Color Organic EL Display", Asia Display, 1995, pp. 269-270
	AV	T. Yamada, et al., "Current Status and Perspective of Active-type Organic EL Display", Electronic Display Forum 2000, April 12-14, 2000, pp. 5-18 to 5-23.
	AW	J. Salbeck, et al., "Low molecular organic glasses for blue electroluminescence", Synthetic Metals 91 (1997) 2009-215
	AX	J. Salbeck, et al., "Spiro Linked Compounds as Active Materials in Organic Light Emitting Diodes", Hoechst AG Corporate Research and Max-Planck-Institute for Polymer Research, pp. 349-350.
	AY	
	AZ	

Examiner

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.